



# CAMERON FUNKHOUSER

MANAGING DIRECTOR

## EXPERTISE

- ✓ Trade Surveillance
- ✓ Insider Trading
- ✓ Investigations
- ✓ Market Manipulation
- ✓ Compliance

## EDUCATION

### George Mason University – Antonin Scalia Law School

J.D., Law  
1981 - 1984

### Georgetown University

B.S.B.A., Business Administration and Management, General  
1976 - 1980

## EMPLOYMENT HISTORY

### SEDA Experts

Managing Director  
2024-Current

### FINRA

Executive Vice President - Office of Fraud Detection and Market Intelligence  
1984-2020

**Cameron Funkhouser is an accomplished financial regulatory leader with over 35 years of experience in his role as Executive Vice President at FINRA. He has a peerless record in developing and implementing comprehensive regulatory programs, conducting high-profile investigations, and using advanced data solutions to improve market surveillance and compliance. Mr. Funkhouser has designed and managed large-scale regulatory programs overseeing registered broker-dealers and trading activities on the NYSE and Nasdaq.**

Cameron served as Executive Vice President in the Office of Fraud Detection and Market Intelligence at FINRA, where he was instrumental in developing and implementing a strategic plan that encompassed key units such as Insider Trading and Fraud Surveillance, the Complaint Center, and the Whistleblower Program. His leadership extended to a dedicated team of over 150 professionals responsible for conducting market surveillance, complaint intake, and investigative activities.

His extensive experience includes conducting thorough investigations and compliance reviews involving registered broker-dealers, investment advisors, and corporate personnel. As a pioneer in big data engineering solutions, Cameron successfully leveraged his market surveillance and investigative expertise to enhance FINRA's core regulatory technology, thereby improving the organization's capacity to monitor trading activities on major exchanges like the NYSE and Nasdaq.

Throughout his tenure, Cameron led numerous high-profile investigations involving insider trading, market manipulation, and various securities law violations. His role as a trusted advisor to law firms, corporate executives, and board members reflects his profound understanding of compliance challenges and his ability to provide strategic guidance on navigating regulatory inquiries and mandated undertakings.

Cameron holds a Juris Doctor (J.D.) from George Mason University - Antonin Scalia Law School and a Bachelor of Science in Business Administration from Georgetown University. Retired from FINRA since May 2020, he looks forward to sharing his expertise through consulting, expert witness engagements, training, and financial industry compliance assessments.